


Form PTO-1390 (Rev. 01-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER LAIN-102
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. 10/552,663 (unofficial)
INTERNATIONAL APPLICATION NO. PCT/FI2004/000223	INTERNATIONAL FILING DATE April 13, 2004	PRIORITY DATE CLAIMED April 11, 2003	
TITLE OF INVENTION ORGANO-SILSESQUOXANE POLYMERS FOR FORMING LOW-K DIELECTRICS			DATE March 28, 2006
APPLICANT(S) FOR DO/EO/US Juha RANTALA			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>    a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>    b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>    c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>    (* pages and * sheets of drawings (Fig(s). *-*)).</p> <p>    a. <input type="checkbox"/> is attached hereto.</p> <p>    b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>    a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>    b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>    c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>    d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>    a. Statement Under 37 C.F.R. 1.497(d)(1)</p>			

U.S. Application No. 10/552,663 (unofficial)		International Application No. PCT/FI2004/000223		Attorney's Docket No. LAIN-102	
				CALCULATIONS	PTO USE ONLY
The following fees are submitted:					
21. <input type="checkbox"/> Basic National Stage Fee . . . . . \$300.00					
22. <input type="checkbox"/> Examination fee If international preliminary examination report prepared by UPSTO and all claims satisfy provisions of PCT Article 33(1)-(4) . . . . . \$100.00 All other situations . . . . . \$200.00					
23. <input type="checkbox"/> Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . . \$100.00 International Search Report prepared and provided to the Office . . . . . \$400.00 All other situations . . . . . \$500.00					
TOTAL OF 21, 22 AND 23 =				\$	0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	130.00
National Stage Application Size Fee - If the specification and drawings exceed 100 sheets, the application size fee due is \$250.00 for each additional 50 sheets or fraction thereof.					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20		x \$ 50.00	\$	0.00
Indep. claims	- 3		x \$ 200.00	\$	0.00
Multiple dependent claim(s) (if applicable)			+ \$ 360.00	\$	0.00
TOTAL OF ABOVE CALCULATIONS =				\$	0.00
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	0.00
SUB TOTAL =				\$	0.00
Processing fee \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
TOTAL NATIONAL FEE =				\$	0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
TOTAL FEES ENCLOSED =				\$	40.00
03/31/2006 MKAYPAGH 00000128 10552663 01 FC:1617 130.00 OP				Amount to be refunded:	\$
				charged	\$
<p>a. <input checked="" type="checkbox"/> Payment in the amount of <u>\$170.00</u> is authorized by credit card (PTO-2038).</p> <p>b. <input type="checkbox"/> Check in the amount of <u>\$*.00</u> to cover the above fees is enclosed.</p> <p>c. <input type="checkbox"/> Please charge my Deposit Account No. <u>111833</u> in the amount of \$_____ to cover the above fees.</p> <p>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>111833</u>.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 60%;"> <p>SEND ALL CORRESPONDENCE TO:</p> <p>KUBOVCIK &amp; KUBOVCIK The Farragut Building Suite 710 900 17th Street, N.W. Washington, D.C. 20006</p> </div> <div style="width: 35%; text-align: right;">   <p>Signature Keiko Tanaka Kubovcik Name 40,428 Registration Number March 28, 2006 Date</p> </div> </div>					

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PCT

In re the application of:

Juha RANTALA

International Application No. PCT/FI2004/000223

I.A. Filing Date: April 13, 2004

U.S. Serial Number: 10/552,663 (unofficial)

Atty. Dkt. Number: LAIN-102

Customer No.: 20374

**SUBMISSION OF STATEMENT UNDER 37 C.F.R. §1.497(d) (1)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 28, 2006

Sir:

Submitted herewith is a Statement under 37 C.F.R. §1.497(d) (1) by Jyri PAULASAARI and Janne KYLMÄ for the present application. In the international application PCT/FI2004/000223, of which the present application is the U.S. national stage, Jyri PAULASAARI and Janne KYLMÄ were erroneously not named as inventors. In the attached statement, Jyri PAULASAARI and Janne KYLMÄ confirm that the error in inventorship in the international application occurred without deceptive intention.

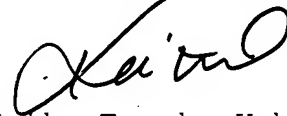
Please add Jyri PAULASAARI and Janne KYLMÄ to the list of inventors of the present application.

U.S. PATENT APPLN. NO. 10/552,663 (unofficial)  
SUBMISSION OF STATEMENT UNDER 37 C.F.R. §1.497(d) (1)

**PATENT**

In the event any additional fees are required, please also  
charge our Deposit Account No. 111833.

Respectfully submitted,  
KUBOVCIK & KUBOVCIK



Keiko Tanaka Kubovcik  
Reg. No. 40,428

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Attachments: Statement under 37 C.F.R. §1.497(d) (1)